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## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,254	10/16/2001	Geoffrey Alan Ozin	13786

CONFIRMATION NO. 2248

## FORMALITIES LETTER



\*OC00000007133354\*

DOWELL & DOWELL, P.C.  
Ralph A. Dowell  
Suite 309  
1215 Jefferson Davis Highway  
Arlington, VA 22202

Date Mailed: 11/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$1233.
  - \$729 for 81 total claims over 20.
  - \$504 for 12 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1668.

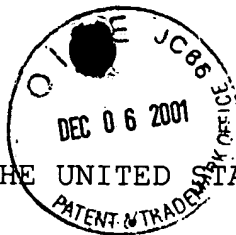
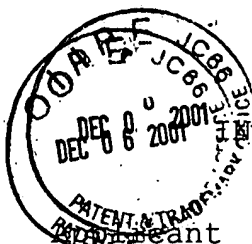
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31 FC:201 579.10 12  
02 FC:205 700.00 12  
03 FC:202 700.00 12  
04 FC:203 700.00 12

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



NSR-0002  
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Ozin et al.  
Appl. No. : 09/977,254  
Filed : 10/16/2000  
Title : METHOD OF SELF-ASSEMBLY AND OPTICAL  
APPLICATIONS OF CRYSTALLINE COLLOIDAL  
PATTERNS ON SUBSTRATES  
  
Grp./A.U. : 1772  
Examiner :  
  
Docket No. : 13786

Honorable Commissioner of Patents  
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION-FILING DATE GRANTED

Submitted herewith is a copy of FORM PTO-1533 together with a Declaration and Power of Attorney signed by the inventors.

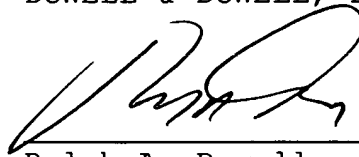
A check in the amount of \$1,668.00 is also enclosed, which includes the government filing fee of \$370.00, \$65.00 for the late filing of the signed declaration, \$729.00 for 81 claims over 20 and \$504.00 for 12 independent claims over 3. Any deficiencies in the fees may be charged to Deposit Account No. 04-1577.

Also please note that a typographical error was discovered on the official filing receipt. Please change the inventor's name from Hernan Fernandez to Hernan Miguez, as listed on the attached executed Declaration and Power of Attorney.

It is now believed that the application should be ready for normal processing. In the event any additional information is required by the Application Branch it would be appreciated if they would contact the undersigned attorney of record at the telephone number shown below.

Respectfully submitted,

DOWELL & DOWELL, P. C.

A handwritten signature in dark ink, appearing to read 'Ralph A. Dowell', is written over a horizontal line.

Ralph A. Dowell

Registration No. 26,868

Date: December 6, 2001

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